

# SHREE GLOBAL TRADEFIN LIMITED

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Ref: SGTL/BSE /2016/02

Date: 13/01/2016

To,  
The Department of Corporate Services,  
The BSE Limited,  
P.J.Towers,  
Dalal Street,  
Mumbai:- 400001.

Dear Sir,

Subject: Compliance Report on Corporate Governance

We attach herewith the quarterly compliance report on Corporate Governance as per Regulation 27(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 in the format specified (Annexure I) for the quarter ended 31<sup>st</sup> December, 2015.

Thanking You,  
Yours Truly,  
For Shree Global Tradefin Limited



Jitendra Sharma  
Company Secretary



## ANNEXURE I

### Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity: SHREE GLOBAL TRADEFIN LIMITED

2. Quarter ending: 31<sup>ST</sup> December, 2015

I. Composition of Board of Directors								
Title (Mr./Ms)	Name of the Director	PAN\$ & DIN	Category (Chairperson/Executive/Non-Executive/independent/Nominee) &	Date of Appointment in the current term /cessation	Tenure*	No of Directors hip in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
Mr.	Rajesh Rajnarayan Gupta	AKKPG7456N & 00028379	C-MD	14/01/2015	-	2	0	0
Mr.	Ravindra Anant Deshpande	AAIPD1447J & 01003990	NE	29/09/2015	-	1	2	0
Mr.	Mohan Krishnamoorthy	AJRPM1452E & 02542406	I-NE	14/01/2015	5Yrs	1	2	2
Mrs.	Uniza Shaikh	BLEPS3588P & 06975961	I-NE	14/01/2015	5Yrs	1	2	0

\$ - PAN number of any director would not be displayed on the website of Stock Exchange

& - Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

\* - to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.

### II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non Executive /independent/Nominee) \$
Audit Committee	Mr. Mohan Krishnamoorthy Mr. Ravindra Deshpande Mrs. Uniza Shaikh	C-I-NE NE I-NE
Nomination and Remuneration Committee	Mr. Mohan Krishnamoorthy Mr. Ravindra Deshpande Mrs. Uniza Shaikh	C-I-NE NE I-NE
Stakeholders Relationship Committee (formerly known as Stakeholders' / Investors' Grievance Committee)	Mr. Mohan Krishnamoorthy Mr. Ravindra Deshpande Mrs. Uniza Shaikh	C-I-NE NE I-NE

&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

### III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
12 <sup>th</sup> August, 2015 25 <sup>th</sup> August, 2015	31 <sup>st</sup> October, 2015	67 Days

### IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
Audit Committee 31 <sup>st</sup> October, 2015	Yes	12 <sup>th</sup> August, 2015	80 Days

\* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

### V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)refer note below
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

Note 1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2 If status is "No" details of non-compliance may be given here.

### VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & remuneration committee
  - c. Stakeholders relationship committee
  - d. Risk management committee:- N.A (applicable to the top 100 listed entities)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: First report. This will be placed at the ensuing Board Meeting.

#### Name & Designation

For Shree Global Tradefin Limited



Company Secretary / Compliance Officer / Managing Director / CEO

